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1. Introduction

Welcome to the Department of Civil, Geological and Environmental Engineering ("the Department") at the University of Saskatchewan ("U of S"). The Department offers the following Civil Engineering graduate programs:

- Doctor of Philosophy (Ph.D.)
- Master of Science (M.Sc.)
- Master of Engineering (M.Eng.)
- Postgraduate Diploma (P.G.D.)

Information pertaining to academic aspects of these programs is given in this manual.

For information pertaining to administrative aspects of these programs, please refer to:

The Graduate Chair or the Graduate Secretary of the Department.

For more information on the Department, its faculty and areas of research, please refer to the following websites:

http://grad.usask.ca/programs/civil-engineering.php#Program
https://engineering.usask.ca/departments/cgee.php

2. About the College of Graduate and Postdoctoral Studies

Graduate programs at the University of Saskatchewan are administered by the College of Graduate and Postdoctoral Studies (CGPS). All graduate students should review and follow the policies and guidelines of CGPS, which can be accessed from the following website:


3. About the Graduate Chair and Graduate Assistant

For the most part, your interactions with CGPS will be managed through the Department of Civil and Geological Engineering’s Graduate Chair, and the Graduate Assistant assigned to Civil Engineering graduate programs by the College of Engineering. Aside from your supervisor, advisory committee members (if applicable) and course instructors, your most frequent point of contact will likely be the Graduate Assistant. The Graduate Assistant can be found in room 2B60 of the Engineering Building, and can be reached by email at:

cgegrad.support@usask.ca
4. About this Manual

University-wide policies and guidelines for graduate studies are set by the CGPS. However, individual academic units may have policies that exceed the minimum standards established by CGPS, and procedures that are specified in greater detail than the general framework provided by CGPS.

This manual describes policies, guidelines and procedures that have been developed specifically for graduate programs in Civil Engineering. While the Department has worked to ensure that its policies, guidelines and procedures adhere to the standards of the College of Graduate and Postdoctoral Studies (CGPS) at the University of Saskatchewan, should the information in this handbook conflict with CGPS procedures, faculty, staff, and students should contact the Department’s Graduate Chair to resolve the conflict.

5. About our Graduate Programs

Ph.D.

1. Entrance Requirements
   Master’s degree, or equivalent, from a recognized university in an academic discipline relevant to the proposed field of study; A cumulative weighted average of at least 70% (U of S grade system equivalent) in the last two years of study (e.g., 60 credit units); Proof of English language proficiency may be required for international applicants and for applicants whose first language is not English.

2. Course Requirements
   6 credit units* of 800-level course work plus research (CE 996), seminar (CE 990), ethics and integrity training (GSR 960 for all students, GSR 961 if research involves human subjects, GSR 962 if research involves animal subjects), and safety training (see section 10).

3. Academic Standards
   A standing of at least 70% in each class taken for credit towards Ph.D. Program.

4. Thesis Requirement
   An independent study and investigation, a thesis written in good literary style, and defence of the thesis in an oral examination.

5. Other Requirements
   Advisory Committee approval of research proposal; successful completion of qualifying (if applicable) and comprehensive examinations.

6. Time Limit
   Time in program is measured from the beginning of the first term of registration for work which is included in the Program of Studies (this may be coursework done at U of S or elsewhere, or thesis research), excluding any periods of approved leave. Ph.D. students are expected to complete their programs 36 to 48
months, with a maximum program time limit of 72 months imposed by CGPS.

7. Fees
See the CGPS website for up-to-date information;
http://grad.usask.ca/programs/civil-engineering.php#Tuitionandfunding

8. Financial Assistance
Several possible sources. See Appendix A for details.

* The Advisory Committee of a Ph.D. student has the authority to require additional credit units of course work, if they deem this to be in the student’s best interest.

M.Sc.

1. Entrance Requirements
A four-year honours degree, or equivalent, from a recognized college or university in an academic discipline relevant to the proposed field of study;
A cumulative weighted average of at least 70% (U of S grade system equivalent) in the last two years of study (e.g., 60 credit units);
Demonstrated ability for independent thought, advanced study, and research;
Proof of English language proficiency may be required for international applicants and for applicants whose first language is not English.

2. Course Requirement
15 credit units of course work. At least 12 credit units of the course work must be at the 800 level; at most 3 credit units may be taken at the senior undergraduate (300 or 400) level. Additional requirements include research (CE 994), seminar (CE 990), ethics and integrity training (GSR 960 for all students, GSR 961 if research involves human subjects, GSR 962 if research involves animal subjects), and safety training (see section 10).

3. Academic Standards
A standing of at least 60% in each graduate class, at least 70% in each senior undergraduate class (if applicable), and a cumulative overall average of at least 70%.

4. Thesis Requirement
An independent study and investigation, a thesis written in good literary style, and defence of the thesis in an oral examination.

5. Other Requirements
Advisory Committee approval of research proposal.

6. Time Limit
Time in program is measured from the beginning of the first term of registration for work which is included in the Program of Studies (this may be course work done at U of S or elsewhere, or thesis research), excluding any periods of approved leave. M.Sc. students are expected to complete their programs 18 to 24
months, with a maximum program time limit of 60 months imposed by CGPS.

7. Fees

See the CGPS website for up-to-date information; http://grad.usask.ca/programs/civil-engineering.php#Tuitionandfunding

8. Financial Assistance

Several possible sources. See Appendix A for details.

9. Transfer to Ph.D.

M.Sc. students wishing to transfer to a Ph.D. program must complete the Ph.D. qualifying examination between the first and second year of their M.Sc. program. If successful, additional course requirements (over and above those required for the M.Sc. program of studies) will be assessed by the Advisory Committee.

* The Advisory Committee of a M.Sc. student has the authority to require additional credit units of course work, if they deem this to be in the student’s best interest.

**M.Eng.**

1. Entrance Requirements

   A four-year honours degree, or equivalent, from a recognized college or university in an academic discipline relevant to the proposed field of study;
   A cumulative weighted average of at least 70% (U of S grade system equivalent) in the last two years of study (e.g. 60 credit units);
   Demonstrated ability for independent thought, advanced study, and research;
   Proof of English language proficiency may be required for international applicants and for applicants whose first language is not English.

2. Course Requirement

   30 credit units consisting of 24 credit units of course work and a project (CE 992) rated at 6 credit units. At least 18 credit units of the course work must be at the 800 level; at most 6 credit units may be taken at the senior undergraduate (300 or 400) level. Additional requirements include CE 990 (seminar), ethics and integrity training (GSR 960 for all students, GSR 961 if research involves human subjects, GSR 962 if research involves animal subjects), and safety training (see section 10).

3. Academic Standards

   A standing of at least 60% in each graduate class, at least 70% in each senior undergraduate class (if applicable), and a cumulative overall average of at least 70%.

4. Project Requirement

   An independent investigation and report on a topic related to the major field of study. This may be a design problem or special study related to engineering in government or industry.
5. Time Limit

Time in program is measured from the beginning of the first term of registration for work which is included in the Program of Studies (this may be course work done at U of S or elsewhere, or project research), excluding any periods of approved leave. M.Eng. students devoted full-time to their studies are expected to complete their programs 16 to 20 months, with a maximum program time limit of 60 months imposed by CGPS.

6. Fees

See the CGPS website for up-to-date information;

http://grad.usask.ca/programs/civil-engineering.php#Tuitionandfunding

7. Financial Assistance

Funding is not typically offered to M.Eng. students.

P.G.D.

1. Entrance Requirements

A four-year honours degree, or equivalent, from a recognized college or university in an academic discipline relevant to the proposed field of study;

A cumulative weighted average of at least a 65% (U of S grade system equivalent) in the last two years of study (e.g. 60 credit units);

Proof of English proficiency may be required for international applicants and for applicants whose first language is not English.

2. Course Requirement

30 credit units of course work. At least 18 credit units of the course work must be at the 800 level; at most 12 credit units may be taken at the senior undergraduate (300 or 400) level. Additional requirements are ethics and integrity training (GSR 960 for all students, GSR 961 if research involves human subjects, GSR 962 if research involves animal subjects), and safety training (see section 10).

3. Academic Standards

A standing of at least 60% in each class, and a cumulative overall average of at least 65%.

4. Time Limit

Time in program is measured from the beginning of the first term of registration for course work which is included in the Program of Studies, excluding any periods of approved leave. P.G.D. students devoted full-time to their studies are expected to complete their programs 16 to 20 months, with a maximum program time limit of 60 months imposed by CGPS. P.G.D. students should be aware that few, if any suitable courses are available during the summer term.

5. Fees

See the CGPS website for up-to-date information;

http://grad.usask.ca/programs/civil-engineering.php#Tuitionandfunding
6. Financial Assistance

Funding is not typically offered to P.G.D. students.

7. Transfer to Master’s

A student in a P.G.D. program who has obtained an average of 70% or better and who would have been eligible for direct admission to a Master’s program, may apply to have his/her course credits transferred to a Master’s program.

A student in a P.G.D. program who was not eligible for direct admission to a Master’s program in the first instance, but who has completed 9 credit units 800-level courses with an average of 80% or better, may qualify for transfer to a Master’s program with the permission of the Graduate Chair and CGPS.

Additional Information about Master’s and P.G.D. Programs

The M.Sc. and M.Eng. programs have the same admissions requirements and academic standards for course work. The M.Sc. program may be regarded as a research option, in which research and thesis constitute roughly one half of the program’s academic requirement. The M.Eng. program may be regarded as the professional option. This option is for students or practicing engineers who wish to have advanced level training, but are not interested in research per se. In lieu of research, extra classes are taken to meet the credit unit requirements and a project report must be written. The P.G.D. is a program encouraged only when a student wishes to pursue Master’s degree but did not achieve the entrance requirements. In this case a P.G.D. program, with the recommendation of a potential supervisor, can be pursued to allow the student to qualify for the M.Sc. or the M.Eng. programs.

6. Courses and Departmental Research Areas

For a list of courses, refer to the U of S online Course and Program Catalogue:

http://www.usask.ca/calendar/coursecat/

Please note, however, that not all course are offered every year. To view list of courses being offered during an upcoming term, please consult the Class Search website:

http://pawnss.usask.ca/ban/bwckschd.p_disp_dyn_sched

Graduate classes in Civil Engineering are offered in five broad general areas, as follows:

- **Sanitary Engineering** deals mostly with water quality, sanitary engineering, pollution control, and water and wastewater treatment.

- **Geotechnical Engineering** deals with physico-chemical properties of soils, frozen soils, unsaturated and swelling soils, volume change and shear strength, stability, seepage, foundations
and retaining wall design. The Geoenvironmental area is a combination of Geotechnical and Environmental Engineering. It combines many of the basic concepts of soil mechanics and seepage with geo-chemistry, organic chemistry and contaminant transport. Most applications deal with waste management in the industrial and resource sectors.

- **Transportation Engineering** deals with topics in terrain evaluation, transportation systems, pavement management, geometric design, and traffic engineering.

- **Structures and Materials Engineering** deals with topics on structural analysis, dynamics of structures, theory of elasticity, theory of plates and shells, plastic design, structural steel design, advanced reinforced concrete, prestressed concrete and concrete technology.

- **Water Resources Engineering** includes hydraulic engineering, hydrology, water resources management, and climate change and adaptation. Hydraulic engineering includes river engineering, hydraulic structures, erosion and sediment transport, and open channel flow and relies heavily on knowledge of fluid mechanics. Hydrology includes and surface and statistical hydrology. Water resources management includes modelling of water resources systems, and the creation of decision-making tools for water resources development. Climate change and adaptation includes development of methods to estimate changes in future climate at the regional and local scale and the adaptation of water resources systems.

Although a student is expected to major in one specialty area, a graduate program need not be so restricted. For example, a student taking a program in one given topic area may take one or more classes in other topic area(s), and/or a limited number of classes offered in other academic units.

For Ph.D. and M.Sc. students, the Advisory Committee must approve the list of courses to be taken.

For M.Eng. and P.G.D. students, the Supervisor must approve the list of courses to be taken.

To obtain credit for CE 992, M.Eng. students must prepare a written report based on their assigned research project. The report will be reviewed by two faculty members (typically the supervisor and another faculty member with relevant expertise), and it must be assigned a passing grade (60% or greater) in order to be credited to the student’s program. (CGPS Policy: Graduate courses for which students receive grades of 60-69% are minimally acceptable in a Master's program, provided the GPA is at least 70%)

### 7. CE 990 Seminar

All Ph.D., M.Sc. and M.Eng. students in the Department are required to enrol in CE 990 for the duration of their program. There are two components of CE 990:

1. All Ph.D., M.Sc. and M.Eng. students are required to attend a series of seminars that will be delivered by various faculty members and staff. Topics covered include academic integrity, literature reviews, preparing technical presentations, research methods, writing research proposals, thesis writing, and safety.
Participation in a seminar session in a format that mimics a technical conference. This graduate student seminar is run on an annual basis (typically near the end of January), and usually spans 1.5 to 2 days. M.Sc. students are required to give a presentation at this seminar at least once during the course of their program; Ph.D. students are required to present at least twice during the course of their program. All graduate students, whether presenting or not, are expected to attend the seminar.

8. The Supervisor – Student Relationship

Graduate students will normally have discussed and agreed to certain terms of engagement with their supervisor(s) prior to beginning their programs. Regardless, in order to promote a thorough understanding of one another’s roles and responsibilities, students shall meet with their supervisor(s) immediately after beginning their programs and complete the Engineering Graduate Student - Supervisor Agreement (given in Appendix B). Non-applicable elements of the agreement may be omitted by mutual consent (e.g., elements pertaining to funding and publications will not be applicable to typical M.Eng. or P.G.D. students), and missing elements may be added using additional pages. Once complete, student and supervisor(s) shall sign the agreement, make copies for themselves (if desired), and submit the original to the Department’s Graduate Assistant for storage in the student’s file.

9. Advisory Committees and Program Timelines for Ph.D. and M.Sc. students

Ph.D. Advisory Committee

The supervisor(s) of a Ph.D. student shall form an Advisory Committee (AC) for the student upon program commencement. The AC shall consist of the supervisor(s) and three additional faculty members. At least one of the members (cognate) must be from an academic unit other than the Department of Civil, Geological and Environmental Engineering (“the Department”). The other two members are normally from the Department. The Graduate Chair assigns a chair for the AC, who is usually an additional non-voting member.

Timeline of the Ph.D. program

- AC meeting with the student during the first academic term to approve the Program of Studies, including the required courses;
- Qualifying examination should be completed within the first 12 months of the program;
- AC meeting to approve research proposal (“proposal defence”) should occur within 18 months from the start of the Program; and sooner if the qualifying examination is waived. The AC ensures that the scope of the research allows for program completion in a timely fashion;
- Comprehensive exam should be completed within 24-30 months from the start of the program;
- Additional AC meetings, with a maximum interval of 12 months between meetings, as needed to monitor student progress; and
- Thesis defence within 36-48 months from the start of the Ph.D. program.

The Advisory Committee (AC) of M.Sc. student
The supervisor(s) of a M.Sc. student shall form an Advisory Committee (AC) for the student upon program commencement. The M.Sc.'s AC consists of the supervisor(s) and two additional faculty members who are normally members of the Department. No chair is assigned to a M.Sc. committee for regular meetings, unless specifically requested by the student, supervisor or any committee member. A chair will be assigned by the Graduate Chair for the M.Sc. defence.

**Timeline of the M.Sc. program**

- AC meeting with the student during the first academic term to approve the Program of Studies, including the required courses;
- AC meeting to approve research proposal (“proposal defence”) should occur within the first 9 months of the program. The AC ensures that the scope of the research allows for program completion in a timely fashion;
- Additional AC meetings, with a maximum interval of 12 months between meetings, as needed to monitor student progress; and
- Thesis defence within 18-24 months from the start of the M.Sc. program.

**10. Required Health and Safety Training**

Graduate students in the Department are required by U of S and College of Engineering policy to take requisite occupational health and safety training provided through U of S Safety Resources. As well, all graduate students must participate in local worksite specific orientation training provided by the faculty and/or staff responsible for the laboratories and facilities to be used during their program of studies.

Regardless of the expected length of service or employment arrangements, all graduate students are expected to self-register and attend all requisite Safety Services courses as soon as the courses are available and it is reasonably possible to attend; typically within the first two months of service. **There is no cost for this training, and time spent at the courses is considered time “at work” towards your program requirements.**

The College of Engineering’s Reseacher, Graduate Student and Worker Orientation Checklist is provided in Appendix C (and is available online at [https://www.usask.ca/paws/channels/engineering-student-resources/documents/safety-security/New%20Employee%20and%20Graduate%20Student%20Orientation%20Checklist.pdf](https://www.usask.ca/paws/channels/engineering-student-resources/documents/safety-security/New%20Employee%20and%20Graduate%20Student%20Orientation%20Checklist.pdf)). Further to laboratory-specific safety training, the Orientation Checklist identifies the following notable requirements:

- Laboratory Safety Course (online course; required for all graduate students)
- Workplace Hazardous Material Information System (WHMIS) (online course; required for all graduate students)
- Safety Orientation for Employees (half-day classroom course, required for all graduate students)
- Safety Orientation for Supervisors (half-day classroom course, required for graduate students who will serve as laboratory teaching assistants, or in other situations where they are responsible for supervising others)
The Laboratory Safety Course and the WHMIS course are valid for three years. As such, students taking longer than three years to complete their programs will have to re-take these courses shortly before they expire.

Course descriptions and registration forms can be found at the following website:

http://safetyresources.usask.ca/services/training/index.php

Students must submit certificates demonstrating successful completion of each course to their supervisor, the Graduate Assistant, and (if requested) to laboratory technical staff.

Students may not access U of S laboratories, nor may they serve as laboratory teaching assistants, until they have completed the required safety training. All key request forms must have a copy of the completed and fully authorized orientation checklist attached if laboratory space access is being requested.

11. Academic Integrity

Guidelines for Academic Conduct at the U of S are approved by University Council. The U of S also hosts a website regarding academic and non-academic integrity:

https://library.usask.ca/academic-integrity

The key principle underlying these guidelines is the following: Integrity is expected of all students in their academic work – class participation, examinations, assignments, research, practica - and in their non-academic interactions and activities as well.

What academic integrity means for students is the following:

- Perform your own work unless specifically instructed otherwise. Check with your instructor about whether collaboration or assistance from others is permitted.
- Use your own work to complete assignments, exams, papers and theses.
- Cite the source when quoting or paraphrasing someone else’s work. Discuss with your professor if you have any questions about whether sources require citation.
- Follow examination rules.
- Discuss with your professor if you are using the same material for assignments in two different courses.
- Be truthful on all university forms.
- Use the same standard of honesty with fellow students, lab instructors, teaching assistants, sessional instructors and administrative staff as you do with faculty.

A tutorial which is helpful for developing a understanding of academic integrity can be found at the following website:

https://libguides.usask.ca/AcademicIntegrityTutorial

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1 This section was taken from the University of Saskatchewan’s School of Environment and Sustainability Graduate Handbook 2016-16; used here with minor modifications.
12. Policy on Ph.D. Qualifying Examinations

Objective: According to CGSP policy, the purpose of the Qualifying Examination “is to satisfy the academic unit the student has the potential to obtain sufficient knowledge of the chosen general field of study to proceed toward candidacy for the Ph.D. degree”. In order for a passing grade to be given, the examining committee must see clear evidence that the student is developing the following qualities of a successful Ph.D. student:

- Independent thought;
- Scientific curiosity;
- The ability to identify gaps in knowledge in a scientific field, and develop a plan to fill the gaps; and
- The capacity for in-depth understanding of underlying mechanisms and scientific concepts.

Form of the Examination: The qualifying examination shall consist of the preparation and submission of a paper followed by an oral examination covering the paper’s general subject area. The topic shall be selected by the student based upon their broad field of study and must be approved by the student’s advisory committee before writing commences; ideally this should happen during the student’s first advisory committee meeting, and by the end of the student’s first term in program at the latest. The paper must comprise original work by the student that has been performed at the University of Saskatchewan during their current program of study; however, the topic chosen by the student for the qualifying examination may be selected in conjunction with activities associated with other aspects of the student’s program (e.g., a term project forming part of a graduate level course; a state-of-the-art literature review; preliminary analyses, laboratory testing, site investigation, analytical and/or numerical modeling conducted for their thesis research).

The paper will be written independently by the student. The supervisor(s) may offer general guidance, but no editorial assistance or review comments on the technical contents of the paper. Similarly, it is the student’s responsibility to select the format and structure of the paper, with the goal of preparing a document that conforms to the style of technical papers typical of their discipline.

The oral examination is intended to discern whether the student has a sound understanding of the subject matter and of the work presented in the paper.

The examining committee for both the written and oral components of the qualifying examination will consist of the student’s current advisory committee. The committee will assess the examination on a pass/fail basis. If the committee cannot reach a consensus on its assessment, a vote must be taken. A simple majority shall prevail as the committee’s decision. The supervisor and co-supervisor (if applicable) are included in the vote, but the chair will only vote in the event of a tie.

If the student’s performance is deemed strong on one aspect of the examination (e.g., the written paper or the oral component), the examining committee may – at its discretion – allow revision and resubmission of the paper and/or a repeat of the oral examination before assessing a pass/fail decision. In such cases, paper resubmission and/or the repeat oral examination should be undertaken within two months, or as soon thereafter as possible.
If the student’s all-around performance is deemed unacceptable, the committee will assess a failing grade. In such a case, the committee should further recommend if the student should transfer to a Master’s program, or be required to discontinue.

**Timing of the Examination:** For students enrolled in a Ph.D. program, the written component of the qualifying examination shall be submitted no later than 11 months from the start of the program. The oral component of the examination shall take place as soon as possible after submission of the written component, allowing a reasonable review period for the examining committee (typically two weeks).

Students considered for transfer into a Ph.D. program from a M.Sc. program must complete the qualifying examination between the first and second year of their M.Sc. program to be eligible.

**Examination Waiver:** At the discretion of the advisory committee, the qualifying examination for a student registered in a Ph.D. program may be waived if the student has completed a thesis-based Master’s degree at a recognized institution known to have expectations comparable to those at the University of Saskatchewan. For this purpose, Canadian and recognized North American universities, among others, may be deemed to be acceptable for the waiver.

### 13. Policy on Ph.D. Comprehensive Examinations

**Objective:** According to CGPS policy, the purpose of the Comprehensive Examination “is to determine whether the student has a mature and substantive grasp of the field as a whole.” In other words, to assess the student’s general knowledge of topics cognate to the candidate's field of study.

**Form of the Examination:** The comprehensive examination shall consist of an oral examination covering the broad area cognate to the candidate's field of study, followed by an optional more targeted written examination assigned at the discretion of the examining committee. Typically, the examining committee for both the written and oral components of the qualifying examination will consist of the student’s Ph.D. advisory committee.

The oral examination will typically include questions of a more conceptual nature related to a number of pre-defined subject areas. The questions will be designed to test the student at a senior undergraduate or introductory graduate level of understanding.

The subject areas to be examined will be selected by the Ph.D. advisory committee and communicated to the student. The student should then be allowed a minimum period of two months to prepare for the examination. A list of sample reference material, including texts and other forms of literature, that is representative of the scope and level of difficulty of material to be examined shall be supplied to the student along with the subject areas. Normally, three or four general subject areas will be assigned covering the broad range of the field of study; the supervisor(s) and internal committee members may each take the lead in assigning one of these areas, although the advisory committee may decide upon a different selection mechanism.

Specific topics to be covered in the written portion of the examination will be determined based on the student’s performance in the oral examination. If the student’s performance is deemed to be acceptable
in all of the areas covered, the written portion of the exam may be waived at the discretion of the examining committee.

Upon completion of the examination (oral component plus written component, if applicable), the committee will assess the examination on a pass/fail basis. If the committee cannot reach a consensus on its assessment, a vote must be taken. A simple majority shall prevail as the committee’s decision. The supervisor and co-supervisor (if applicable) are included in the vote, but the chair will only vote in the event of a tie.

If the student is assessed a fail, the committee should further recommend if the student should transfer to a Master’s program, or be required to discontinue.


Students in M.Sc. and Ph.D. programs must prepare a research proposal document which will be reviewed and orally examined by their committee members. There are two objectives to be accomplished in the research proposal:

- Clearly identify the problem or issue to be addressed, and provide a clear statement of objectives that will be accomplished in the research.
- Develop a research plan that will accomplish these objectives, and present it in sufficient detail that the advisory committee gains confidence in the project’s likelihood of success.

The research proposal is written as a formal document, and should be written as though it is to be submitted to a funding agency. The proposal document should be formatted according to the standards identified in Section 15 (Thesis Writing Guidelines). Guidelines for proposal contents are given in Appendix D. For M.Sc. proposals, the body of the document should be approximately 15-20 pages. For a Ph.D. proposal, the body of the document should be approximately 20-25 pages. (In both cases, it is assumed that roughly 2/3 of the document will consist of text, and the balance will consist of figures and/or tables.) Approval of a majority of committee members must be obtained before a student may submit a proposal that deviates significantly from these length guidelines.

Students should expect to put considerable effort into their research proposal. Bear in mind that the research proposal establishes the framework for the final thesis document. Indeed, if done well, most of the material contained in the proposal will be used in the final thesis document. Thus, extra effort devoted to producing a high quality research proposal will be recovered in the form of a more efficient and productive research process, and ultimately, a better final document.

Once approved by their supervisor(s) to do so, the student will request (via the Graduate Assistant) an advisory committee meeting during which the committee will orally examine the proposal. The student must submit a copy of the proposal document to committee members at least one week prior to the scheduled meeting. At the meeting, the student will give a 15-20 minute presentation summarizing the proposal, to be followed by two rounds of questions from committee members. Following these

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2 The contents of this section were synthesized from “Developing Graduate Research Proposals and Completing a Graduate Project/Thesis/Dissertation” by Professor B. Thomson (University of New Mexico website, accessed April 2016), and a recent CE 990 presentation.
questions, the student will be excused while committee members discuss and assess the proposal document and the student’s performance during the oral examination thereof.

For the first advisory committee meeting called for the purpose of examining a proposal, possible outcomes are the following:

- **Pass**: Proposal is accepted (document requires no revisions; oral examination deemed acceptable);
- **Conditional Pass**: Proposal requires minor revision (performance during oral examination deemed acceptable, but document must be resubmitted for a second review before it is accepted). At the committee’s discretion, the revised proposal may be reviewed solely by the supervisor(s) or by the entire committee before it is accepted and assigned a passing grade. Acceptance may be agreed upon electronically in such cases; i.e., there is no need to convene an advisory committee meeting to approve the revised proposal document.
- **Remedial Action**: Proposal requires major revisions or performance during oral examination deemed to be poor. In such cases, another advisory committee meeting must be scheduled in order to orally examine the proposal a second time. The timing of this second oral examination will be determined at the discretion of the advisory committee and communicated to the student before adjourning the meeting; 2 months is recommended as a general guideline. If a revised proposal is required, it must be submitted to committee members at least one week in advance of the meeting.
- **Fail**: Committee deems the proposal document and/or performance during oral examination are unsatisfactory, to the point where remedial action is deemed unlikely to result in an acceptable result.

If a second advisory committee meeting is required to assess the proposal, possible outcomes are the following:

- **Pass**: As described above.
- **Fail**: As described above.

During either of the afore-noted meetings, if consensus cannot be reached on the proposal assessment, a vote must be taken. A simple majority shall prevail as the committee’s decision. The supervisor and co-supervisor (if applicable) are included in the vote, but the chair will only vote in the event of a tie.

If the student is assessed a fail, the committee should further recommend if the student should transfer to a different program (e.g., Ph.D. students might be recommended to transfer to a M.Sc. program; M.Sc. students might be recommended to transfer to a M.Eng. program), or be required to discontinue.

Though the instructions that follow were written for M.Sc. and Ph.D. theses, they are also applicable for M.Eng. reports, though these reports will generally be shorter than theses and will contain lesser amounts of original contributions to knowledge.

The following is a description of a thesis, taken directly from the CGPS website (https://students.usask.ca/graduate/thesis-preparation.php#Beforebeginning):

“The thesis is an essential element of a research-based graduate degree.

“The thesis:

“serves as evidence that a student is able to describe, explain and defend the research work that he/ she has undertaken, and how it contributes to and furthers the knowledge within the discipline.

“describes why the research work was undertaken, justifies the methods used, and provides an interpretation and analysis of the results.

“prepares the student to undertake further research in the field of study and to make significant contributions to the field of knowledge

“With these principles in mind, a thesis should be presented as a single, cohesive, consistently formatted and unified document, which clearly articulates the progression of a student’s chosen field of research. A thesis presents a student’s research work as a whole, rather than discrete pieces, and the student should be able to justify and defend each part of it, from the introduction through to the conclusion.”

Instructions on thesis writing, including factors to consider before beginning the thesis, formatting and style guidelines, and the process of submitting a thesis to the examining committee, are given at the CGPS website:

https://students.usask.ca/graduate/thesis-preparation.php

Further to the formatting instructions given at the above-captioned website, students in the Department are required to use a line spacing of 1.5.

For students writing a traditional thesis, the typical structure for the main body of the thesis is given in Appendix E.

With regards to manuscript-style theses, CGPS policy states the following: “With the permission of his or her advisory committee, a student may submit a manuscript-style thesis. A manuscript-style thesis is a document that includes one or more scholarly manuscripts written in a manner suitable for publication in appropriate venues.” CGPS policy and guidelines for manuscript-style thesis can be found at the following website:

https://students.usask.ca/graduate/manuscript-style.php#Beforeyoubegin
Regardless of the formatting standard used for manuscript(s) when submitted for publication, manuscript(s) used in a thesis must be reformatted to match the standards given above for traditional theses. Additionally:

- Pages must be numbered sequentially through the entire thesis;
- Chapters, sections and subsections must be numbered sequentially;
- Figures, tables and equations must be numbered sequentially;
- Introductory and concluding chapters which unify the materials presented in the manuscripts must be written (see Appendix F for guidelines on main body elements to be used for a manuscript-style thesis).

Regardless of the chosen thesis format, students are encouraged to write their thesis in parallel with conducting their research, and to discuss procedures and timing for getting feedback from supervisor(s) early in the stages of thesis preparation. Supervisors often prefer to review chapters on an individual basis, as they are completed, in advance of (and in addition to) reviewing the thesis as a whole. Students should recognize that it will take some time for their supervisors to undertake their review(s) and that multiple iterations of review and revision may be required before the thesis is deemed ready for examination. Students must understand that the best way to expedite the process is to submit thesis contents that have been carefully and concisely written, proof-read, and properly formatted.

16. Thesis Defence Procedures

Once approved by their supervisor(s) to do so, the student will submit the thesis to committee members for review. Committee members typically require up to 3 weeks to complete their review of the thesis (and perhaps longer in the case of lengthy theses), after which they might approve it to go to defence, or they might require revisions (and perhaps a second review) before approving it for release to the external examiner. In turn, the external examiner will typically require up to three weeks to review the thesis. As such, it is prudent to plan on a period of 6 to 8 weeks from the time of thesis submission to thesis defence.

The Graduate Assistant, Graduate Chair and CGPS personnel will make most of the arrangements for the defence, including scheduling, coordinating the selection of an external examiner, and sending a copy of the thesis to the external examiner. The student must not make direct contact with the external examiner.

Students interested in knowing more about procedures for arranging M.Sc. and Ph.D. defences may consult relevant CGPS policies at the following website:


In the Department, defences begin with a short (15-20 minute) presentation during which the student summarizes the thesis contents. This presentation is open to the general public. Following the presentation, members of the public will be excused, and examining committee members will remain. The chair will then adjudicate the oral defence.

Following the oral defence, the student will be excused and the examining committee will discuss and assess the thesis document and the oral defence. Possible outcomes (recommendations), and the process for reaching them, are described at the following website:

http://www.usask.ca/cgps/documents/pnp_m_phd.pdf
Once a recommendation has been reached, the student will be invited back into the meeting room and advised of the outcome.

17. Post-Defence Procedures

Even after a successful defence, thesis revisions are typically required in response to feedback from examining committee members. The length of time required for these revisions varies widely; often falling in the 1 to 6 week range (full-time equivalent work). The supervisor(s), and in some cases selected committee members, will then review the thesis again before officially approving it.

Administrative procedures required for formal completion of the M.Sc. or Ph.D. program will be identified in a handout given to the student at the conclusion of the defence.
Appendix A:
Funding and Scholarships

Faculty members in the Department generally provide funding to M.Sc. and Ph.D. students using their research grants and/or contracts. Faculty are encouraged to provide funding at a levels that meet or exceed those specified by Tri-Agency funding agencies such as NSERC (M.Sc: http://www.nserc-crsng.gc.ca/Students-Etudiants/PG-CS/CGSM-BESCM_eng.asp; Ph.D.: http://www.nserc-crsng.gc.ca/Students-Etudiants/PG-CS/BellandPostgrad-BelletSuperieures_eng.asp). However, specific funding arrangements are not dictated by the Department, hence graduate students should discuss funding arrangements with their supervisor(s) before starting their programs to ensure that both parties have consistent expectations regarding funding amount and duration.

Additionally, all M.Sc. and Ph.D. students in the Department of CGE are automatically considered for the following awards:

<table>
<thead>
<tr>
<th>Award</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Devolved Scholarship</td>
<td>CGPS</td>
</tr>
<tr>
<td>Graduate Research Fellowship (GRF)</td>
<td>CGPS</td>
</tr>
<tr>
<td>Engineering Graduate Research Fellowship (EGRF)</td>
<td>College of Engineering</td>
</tr>
<tr>
<td>Graduate Teaching Fellowship (GTF)</td>
<td>CGPS</td>
</tr>
</tbody>
</table>

The Department awards these as ½ scholarships/fellowships, and requires that matching funding be provided from other sources (e.g. supervisor research funding, other major scholarships) to ensure scholarship/fellowship recipients are receiving at a minimum full Tri-Agency support levels. On average roughly 10 to 12 graduate students receive ½ awards within the Department each year. Students receiving these awards must provide some level of service to the Department (e.g., marking or teaching assistance of 2 hours per week for 26 weeks).

Guidelines for Award of Department Devolved Scholarships

General:
Students must achieve a high level of academic performance to be awarded a scholarship. Preference will be given to Ph.D. students.
Students receiving scholarships must be pursuing full time studies, and not receiving the equivalent of full support from an employer.
Students receiving scholarships normally must maintain continuous registration throughout their program.

For Entering Students:
- Priority will be given to students with undergraduate degrees from Canadian universities.
- For students with undergraduate or graduate degrees from a Canadian university the minimum level of academic performance required for consideration is the equivalent of ≥80% over the last two years of a program in the College of Engineering.
- For students with undergraduate or graduate degrees from foreign universities the minimum level of academic performance required for consideration is the equivalent of
a degree with great distinction within the College of Engineering (i.e. equivalent of \( \geq 82\% \) over the last two years of a program in the College of Engineering). Further, the student should be considered a top prospect with a ranking within the top 5 to 6 % of their class.

- Entrance scholarships will not be awarded for studies beginning in May. However, a student can be considered in the spring and offered a scholarship beginning in September.

**For Current Students:**
- No distinction is made between Canadian and foreign students once they have established an academic record within our graduate program.
- For students pursuing full time graduate studies within the Department an academic record of \( \geq 80\% \) must be achieved to become eligible for consideration.
- At least two classes should be taken during a term in order to establish an academic record (exceptions may be made for Ph.D. students due to limited course requirements).

**Period of eligibility:**
Students have a limited period of eligibility to receive scholarship support. The eligibility period is timed from the beginning date of their program.
- M.Sc. students have two years of eligibility from the beginning date of their program.
- Ph.D. students have three years of eligibility from the beginning date of their program.
- M.Sc. students who switch to a Ph.D. program have three years of eligibility from the beginning date of their Master’s program.
- M.Sc. students on scholarship who complete a Master’s degree and then proceed to a Ph.D. program have 2 additional years of eligibility from the beginning date of their Ph.D. program.

**Maintenance of scholarships:**
Once a student is awarded a scholarship, a student must maintain a session weighted average of \( \geq 75\% \) to retain their scholarship.

Students who have completed their course work must be fully engaged in their research and demonstrating a high level of performance in these activities.

Scholarships are awarded until the end of the period of eligibility, provided the required level of academic achievement is maintained.

**Other Considerations**
Given a pool of students with similar levels of academic achievement, award decisions will be made in such a way as to distribute the awards across different sub-disciplines.

Decisions about devolved scholarships are typically made in September and January, and decisions about GRF, EGRF and GTF awards are typically made in June. Award recipients will be notified by the Graduate Chair shortly after decisions have been made.

Additional scholarships may be available to graduate students via CGPS, the College of Engineering, and various other organizations. Information about CGPS scholarships are available from the following website:
Information about other scholarships will be relayed to graduate students as they are received by the department, generally by email.

https://grad.usask.ca/funding/scholarships.php
Appendix B:
Engineering Graduate Student - Supervisor Agreement
This document is intended to aid graduate students and supervisors by providing guidelines for the graduate student-supervisor relationship and in planning and completing the thesis project. This document is not intended to be legally binding. This document should be considered in conjunction with College of Graduate and Postdoctoral Studies (CGPS) and Departmental/Program policies governing graduate student programs.

<table>
<thead>
<tr>
<th>Student Name</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Program</td>
<td></td>
</tr>
<tr>
<td>Start Date</td>
<td></td>
</tr>
<tr>
<td>Title of Project / Area of Research</td>
<td></td>
</tr>
<tr>
<td>Supervisor(s)</td>
<td></td>
</tr>
<tr>
<td>Advisory Committee Members</td>
<td></td>
</tr>
</tbody>
</table>

**Responsibilities**

Check off each item once it has been discussed. Add handwritten notes or indicate “N/A” as appropriate.

**Role of the Supervisor/Advisor**

- To be aware of program requirements and to assist the student in preparing to meet these requirements
- To guide the choice of the advisory committee, program of studies, thesis topic, timeline to completion, and milestones
- To be accessible for and to encourage regular meetings with the student (discuss typical meeting arrangements during different stages of the program)
- To provide expectations, criteria, and evaluation for written work, including the thesis, in a timely fashion
- To explore, inform about, and provide funding opportunities
- To convene the advisory committee at least once yearly
- To provide the student with the opportunity to present research at a conference, pending satisfactory performance by the student in his/her program and the availability of sufficient funds
- To guide the student in preparing a thesis that is suitable for examination, to provide the names of suitable external examiners, and to assist the student in preparing for the defence
To provide letters of recommendation on request, in a timely fashion

To arrange for suitable supervision during extended absences

To advise the student of extended absences due to vacation, illness, leave or other reasons

**Role of the Student**

- To be accessible for and maintain regular and frequent communication with the supervisor and advisory committee
- To be aware of the many other commitments the supervisor will have, and to schedule meetings and document review in a responsible manner that respects these commitments
- To know and adhere to policies, regulations, expectations and standards of the DCGE, the College of Graduate Studies and Research, and the University with respect to course work, research, scholarship, intellectual property, academic integrity, safety, ethics, thesis work, collaborative work, authorship, acknowledgements, conference presentations, professionalism, and obligations tied to funding
- To be aware of and to meet deadlines for registration, coursework, research, applications, reporting, defence, and convocation preparations
- To strive for excellence in and to take full responsibility for course work and research
- To establish and adhere to a timeline and milestones for completion
- To record research systematically, completely, and honestly
- To report on progress and to prepare a yearly report for the advisory committee
- To submit work for evaluation, allowing reasonable time for review, and to give consideration to advice from the supervisor and the advisory committee
- To make thoughtful, considerate, frugal and responsible use of resources
- To maintain, keep clean, and return order to the workplace, including all research equipment
- To advise the supervisor of absences due to vacation, illness, or other reasons
Meetings

- The supervisor and student will schedule and attend meetings regularly. A minimum frequency for these meetings will be determined and may be adjusted if both the supervisor and the student are in agreement.

- Advisory Committee Meetings (ACM) schedule

<table>
<thead>
<tr>
<th>MSc program</th>
<th>Month of program</th>
<th>PhD program</th>
<th>Month of program</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial meeting</td>
<td>Initial meeting</td>
<td>Qualifying exam</td>
<td></td>
</tr>
<tr>
<td>ACM 1 (Proposal)</td>
<td>ACM (Proposal)</td>
<td>Comprehensive exam</td>
<td></td>
</tr>
<tr>
<td>ACM 2</td>
<td></td>
<td></td>
<td>Regular ACM</td>
</tr>
</tbody>
</table>

Publications

- The supervisor will acknowledge the contribution of the student in any publications or presentations, as appropriate

- Order of authorship, as well as criteria to establish this order, on shared publications will be established

Intellectual Property, Academic Integrity, and Ethics

- The student will hold the copyright to his/her thesis


- The student will keep orderly records of all data collected and will return data to the U of S upon program completion, according to ethics policies. For example, research records must be retained for not less than five (5) years from the date a degree is awarded to a student ([https://policies.usask.ca/policies/research-and-scholarly-activities/responsible-conduct-of-research-policy.php#ProceduresforStewardshipofResearchRecords](https://policies.usask.ca/policies/research-and-scholarly-activities/responsible-conduct-of-research-policy.php#ProceduresforStewardshipofResearchRecords)).

- The student is responsible for understanding the meaning of academic integrity at the University of Saskatchewan and ensuring that all of their work meets these standards

- The supervisor and student will adhere to all University of Saskatchewan policies regarding the conduct of research, including ethics policies and procedures
Funding

- Pending the availability of sufficient funds, which the supervisor will make reasonable effort to acquire and maintain, the supervisor intends to provide a minimum funding level of $________ per month for a period of ________ months. Funding is contingent on acceptable academic performance by the student.

- Funding beyond the afore-noted duration is not guaranteed.

- The student will seek scholarships appropriate to his/her program, with the assistance of the supervisor.

- The funding comes from a contract with ________________________________ , which has the following special requirements:

Professional Development

- Opportunities for the student to attend appropriate conferences and to present their work will be sought

- Sources of funding for student travel will be applied for by the student, with assistance from the supervisor

Signatures

The student and supervisor have reviewed and agree to these guidelines.

<table>
<thead>
<tr>
<th>Signature of Supervisor</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature of Co-Supervisor (if applicable)</td>
<td>Date</td>
</tr>
<tr>
<td>Signature of Student</td>
<td>Date</td>
</tr>
</tbody>
</table>

This document will be kept on file in the Graduate Assistant office, and copies will be provided to the supervisor and student.

This document was adapted from the University of Saskatchewan School and Environment and Sustainability Graduate Handbook 2015-16.
Appendix C:
Safety Orientation Checklist

Available online at:
RESEARCHER, GRADUATE STUDENT AND WORKER ORIENTATION CHECKLIST

This is a living document. It is filled in at the beginning of employment and accessed by supervisors, lab managers and administrators to ensure the safety of graduate students and research personnel in all departments.

**NOTE:** All health and safety concerns shall be forwarded to the College of Engineering Local Safety Committee (the CoE LSC).

<table>
<thead>
<tr>
<th>Department/Division:</th>
<th>CBE Chemical Biological</th>
<th>CGEE Civil Geotechnical</th>
<th>ECE Electrical</th>
<th>MECH Mechanical</th>
<th>BIOE Biomedical</th>
<th>OTHER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name &amp; Email:</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>Start Date / Term:</td>
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<tr>
<td>Workstation Room:</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Phone:</td>
<td></td>
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</tr>
<tr>
<td>Cellular Phone Number:</td>
<td></td>
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</tr>
<tr>
<td>Supervisor’s Name:</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Laboratories Used:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nature of Employment: (check all that apply)</td>
<td>Visiting Professor</td>
<td>Visiting Student</td>
<td>Summer Student</td>
<td>Graduate Student</td>
<td>Researcher</td>
<td>Postdoctoral Fellow</td>
</tr>
<tr>
<td>Working under a Permit?</td>
<td></td>
<td>yes</td>
<td>NO</td>
<td></td>
<td>Type:</td>
<td>BIO</td>
</tr>
<tr>
<td>Status:</td>
<td>New Employee</td>
<td>Existing Employee</td>
<td>Has Keys</td>
<td>Keys Required</td>
<td>Other</td>
<td></td>
</tr>
<tr>
<td>LINK TO KEY REQUEST FORM</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

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### A. Principal Investigator / Supervisor:
- [ ] Departmental (or lab) orientation arranged
- [ ] Computer and workstation/office assigned
- [ ] Supervisor and contact information is known – **Supervisor’s Office/Phone:** Phone: __________
- [ ] Key Request Form completed (with supervisor or departmental administrator)
- [ ] I have specified the required health and safety training
- [ ] Other: __________

---

### B. Required Health and Safety Training: (Section B: College and Supervisors determine the training required)

Safety Resources provides the following training free of charge. Register for training online: [http://safetyresources.usask.ca/](http://safetyresources.usask.ca/)

- [ ] Check applicable **REQ** boxes below for required training or check **N/A** when not applicable
- [ ] Completed Training Documents must be submitted to the designated departmental personnel for their initials of completion. Copies of completions are to be kept on Departmental file.

### REQ N/A Initiated:
- [ ] Safety Orientation for Employees course *(mandatory for employees)* **COMPLETED**
- [ ] Safety Orientation for Supervisors course *(mandatory for supervisors)* **COMPLETED**
- [ ] Laboratory Safety course *(mandatory for all lab personnel)* **COMPLETED**
- [ ] WHMIS course *(mandatory for all lab personnel)* **COMPLETED**
- [ ] Biosafety course *(if working under a Biohazard Permit)* **COMPLETED**
- [ ] Radiation Safety course *(if working under a Nuclear Substance Permit)* **COMPLETED**
- [ ] Laser Safety Training *(mandatory for all users)* **COMPLETED**
- [ ] TDG (Transportation of Dangerous Goods course) *(as needed)* **COMPLETED**
- [ ] Nanomaterial Safety Training *(mandatory for all users)* **COMPLETED**
- [ ] Other: __________ **COMPLETED**
- [ ] First Aid and CPR training *(as needed, not free)* **COMPLETED**

---

### C. New Employee, Researcher Expectations: (Section C: ☑ or ☑ means Yes)

- [ ] I have received a tour of my workplace and am familiar with the locations of offices, labs, washrooms, etc.
- [ ] I have acknowledge the availability of the University Policies: [http://www.usask.ca/university_secretary/policies/](http://www.usask.ca/university_secretary/policies/)
- [ ] I have accessed the College Safety web page: [http://engineering.usask.ca/service-and-support/safety-security.php](http://engineering.usask.ca/service-and-support/safety-security.php) and I am familiar with the Local Safety committee, and the policies and procedures for the college.
- [ ] Evacuation routes, marshalling areas, and the location of emergency equipment are understood.
- [ ] I understand that I am responsible to participate in regular self-inspections of my workspaces.
- [ ] I understand that I am expected to keep my workspace clean and safe, and conduct myself in a professional manner.
- [ ] I understand that my workspace will be inspected periodically and I must comply with all safety regulations.
- [ ] I understand that I am responsible to resolve deficiencies (sub-standard practices/conditions) found in my workspaces.
- [ ] I am aware of University Emergency Alert system: [http://www.usask.ca/protectiveservices/](http://www.usask.ca/protectiveservices/)
- [ ] I understand that when I complete my employment I must complete the Exit Form and ensure all research materials are properly decommissioned, chemicals are properly disposed and work areas are left clean.

---

Version 2.3

May 2017
**D. Laboratory Orientation Processes:**  
☐ N/A (Section D: lab managers/technicians and supervisor, with the student)

- Reporting expectations explained (injuries, workplace hazards, near misses, and illness)
- Chemical and materials inventory, storage, labeling and disposal requirements are clearly understood
- Site specific training has been provided (check-off when complete)
- Knows location of MSDS/SDS, and able to readily access MSDS/SDS (hardcopy and/or electronically)
- Written Standard Operating Procedures (SOPs & HAZOPs) & related training is in place, current and ongoing
- Job Safety Analysis, Safety Handbooks and Local Emergency Response Plans are discussed and reviewed
- Lab is organized and cleaned upon move-in, and whom to report issues to is clearly known

<table>
<thead>
<tr>
<th>Lab 1 Lab Manager/Faculty Supervisor</th>
<th>Lab Name/Room:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signed: X</td>
<td>Date:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Lab 2 Lab Manager/Faculty Supervisor</th>
<th>Lab Name/Room:</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ N/A</td>
<td>Signed: X</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Lab 3 Lab Manager/Faculty Supervisor</th>
<th>Lab Name/Room:</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ N/A</td>
<td>Signed: X</td>
</tr>
</tbody>
</table>

**Acknowledgements:**

- a) I understand that it is my responsibility to follow safe work procedures (SOPs & HAZOPs) as they are written.
- b) I agree to wear all PPE required in laboratories, including safety glasses, closed-toe shoes and long pants.
- c) I understand it is my responsibility and the expectation of my supervisor, the department and the college that I ask questions to clarify my understanding and get help when I am unsure of how to complete work tasks safely.
- d) I know whom I am expected to ask questions, raise concerns and bring forward suggestions for improvements.
- e) I will not take unnecessary risks that endanger my own health and safety, or others’ health and safety.

It is assumed that names, degrees, designations, lab locations and business contact information will normally be displayed on college and faculty websites, in college and university publications and within research proposals and reports. **If you consent to this use of your personal information please check this box: ☐ & initial: ☐ [if nonconsenting, discuss controls with supervisor]**

<table>
<thead>
<tr>
<th>Employee or Student Signature:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
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</tr>
</tbody>
</table>

I have reviewed the information in sections A, B and C on this checklist with new employee/researcher; I have explained their responsibility to complete all required safety training, to follow safe work procedures, and the expectation that all known workplace hazards and all work-related injuries by promptly reported.

<table>
<thead>
<tr>
<th>Orientation Provider Signature:</th>
<th>Date:</th>
</tr>
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<tbody>
<tr>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

For areas and those I supervise: I will proactively help ensure safe working environments, provide appropriate job-specific training and supervision, and will provide safety training records to the Local Safety Committee upon request.

<table>
<thead>
<tr>
<th>Faculty Supervisor’s Signature:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Department Head Acknowledgement:</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

**Copies:** ☐ Supervisor’s Files ☐ College Central Files ☐ Lab Managers’ Files ☐ Employee (upon request)
Appendix D:
Research Proposal – Content Guidelines

Research proposals are generally expected to have the following components:

- **Title Page**
  - Should include the title of the proposed research, the student’s name, program and supervisor, and the date the document was completed.
- **Abstract**
  - Optional for short proposals; student should discuss the need for an abstract with his/her supervisor.
- **Table of Contents**
- **Introduction**
  - General description of the problem under consideration;
  - Clear statement of the research question or hypothesis to be addressed, and the significance of this question or hypothesis;
  - Clear statement of the research objectives (often cast most effectively as an overall objective followed by specific sub-objectives);
  - General statement of the methods that will be used to achieve the objectives;
  - Scope (e.g., what topics will / will not be considered in the research; what data types and analysis methods will / will not be used, etc.).
- **Literature Review**
  - Thorough review of information relevant to the research topic;
  - This chapter will draw heavily on previous work by others and external sources of data and should be extensively referenced;
  - Summarize, critically appraise and synthesize published literature and reports;
  - This chapter should form the basis of the second chapter of the thesis prepared at the conclusion of the research, though the version written for the research proposal must be highly focused due to the length constraints imposed on the proposal.
- **Methodology**
  - Describe how the research will be conducted. Identify methods of collecting data;
  - Provide diagrams of experimental equipment to be built. Identify analytical methods to be used (give references). Provide maps showing locations of field sampling stations. Develop the theory of modeling studies. Identify sources of information;
  - Describe expected results and methods of analyses;
  - Describe the data or information expected to be generated by the research. Identify its form (statistical data from questionnaires, tables of data from instruments, papers from library & Internet searches, computer model results, etc.);
  - Describe how the data will be processed, summarized, or analyzed. Identify statistical methods to process the data. Describe how literature, interviews, or other non-quantitative information will be assimilated and interpreted;
  - Provide a research schedule with specific tasks and specific milestones that can be used to track the progress of the project. Identify deliverables to be generated during the research (e.g., conference papers, refereed journal papers, thesis document, patents, etc.);
- Budget: Optional; consult with supervisor(s) to determine if this is necessary. May be relevant to some projects involving field work (travel, accommodations, etc.), for example.

- References
  - List reference cited in the proposal, using the same format as the final thesis document (see Appendix E).
Appendix E:
Content Guidelines for Main Body of Thesis—Traditional Format

- Introduction
  - Similar contents to proposal (see Appendix D), though may include an additional section explaining layout of the thesis, especially if thesis structure is unique or unusual.

- Literature Review
  - Similar contents to proposal (see Appendix D), though up-to-date and likely more extensive.

- Theoretical Development (optional)

- Description of Method (Methodology)
  - Enables evaluation of technical level;
  - Allows others to repeat your work;
  - Components may include any of the following:
    - Test setup and procedures;
    - Instrumentation and data acquisition;
    - Methods of Analysis;
    - Numerical Model Selection Description and Modelling Parameters;
    - Problems encountered and overcome;
    - Identification and discussion of flaws, problems, simplifying assumptions;
    - Case study.

- Results and Analysis
  - Summary of major results;
  - Discussion of significance;
  - Approach:
    - Well chosen graphs, figures and tables;
    - Include some discussion for each;
    - Concise and focused; limit repetition, place supporting materials in appendices.

- Summary, Conclusions and Recommendations
  - Summary:
    - Overview of objectives and methodology.
  - Conclusions:
    - Major results in distilled form.
    - Should correspond to objectives.
    - No new information presented.
    - Do not use figures and tables.
  - Recommendations:
    - Future studies; implementation of research outcomes.

- References
  - List all references cited in the thesis, in alphabetical order. It is suggested that references be cited using the author / date format. For example (Smith, 1995; Jones and Allen, 2002; Sanchez et al., 2005). Many formatting options exist (e.g., see http://libguides.usask.ca/citation ). Students should consult with their supervisor(s) to identify a format deemed appropriate in their area of study (e.g., the format used by a leading journal in the area), and rigorously and consistently use this format.
Appendix F:
Thesis Content Guidelines – Manuscript-Style Format

- Introduction
- Literature review (optional; include if there are gaps in the literature reviewed in the manuscript-based chapters)
- Methods (optional; include if there are gaps in the methods given in the manuscript-based chapters)
- Transition (e.g., preface to each manuscript chapter)
  - Citation for each manuscript
  - Explanation of how each manuscript fits in the thesis
  - Explicit statement of the student’s contribution to each manuscript
- Manuscripts: Each one should have its own chapter
- Discussion, Conclusions, Summary and Recommendations
- Appendices and Supporting Documentation
- References (listed chapter-by-chapter OR in a single list at the end of the thesis)